



Attorney Docket No. 19353/6-CIP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:

Perkins et al.

Application No.:

10/045,778

Group Art Unit:

FILED:

January 11, 2002

Examiner:

THE CENTED Not Yet Assigne

FOR:

Poultry Processing Water Recovery and Re-use Process

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#### CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner of Patents, Washington, D.C. 20231 on:

### INFORMATION DISCLOSURE STATEMENT (SUBMISSION AFTER FILING OF AN APPLICATION BUT BEFORE THE MAILING DATE OF A FIRST OFFICE ACTION ON THE MERITS)

Assistant Commissioner of Patents Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, applicant hereby submits an Information Disclosure Statement for consideration by the Examiner.



Application No.: 10/045,778

Filed: January 11, 2002

Group Art Unit: 1744

## I. <u>LIST OF PATENTS, PUBLICATIONS OR OTHER INFORMATION</u>

The patents, publications or other information submitted for consideration by the Office are listed on PTO-1449, attached hereto.

#### II. <u>COPIES</u>

a. X Submitted herewith is a legible copy of (i) each U.S and foreign patent; (ii) each publication or that portion which caused it to be listed; and (iii) all other information or that portion which caused it to be listed.

#### III. CONCISE EXPLANATION OF THE RELEVANCE

(check at least one box)

a. <u>X</u>	Except as may be indicated below in (b), all of the patents, publications				
	or other information are in the English language or were cited in an				
	English language Search Report, a copy of which is attached hereto				
	(concise explanation not required).				
b	A concise explanation of the relevance of all patents, publications or other				
	information listed that is not in the English language is as follows:				
c	The following additional information is provided for the Examiner's				
	consideration:				

#### **FEES**

	Application No.: 10/045 Filed: January 11, Group Art Unit: 1	<b>2</b> 02
	FEES  IS BEING FILED UNDER 37 C.F.R. § 1.97(b)	25 3800
THIS IDS	IS BEING FILED UNDER 37 C.F.R. § 1.97(b)	
check one		
a	within three months of the filing date of a national application (37	
	C.F.R. § 1.97(b) (1)). No fee or certification is required.	
b	within three months of the date of entry of the national stage as set for	orth
	in §1.491 in an international application (37 C.F.R. § 1.97(b) (2)). No fe	ee
	or certification is required.	
c. <u>X</u>	before the mailing date of a first Action on the merits (37 C.F.R. §	
	1.97(b) (3)). No fee or certification is required. In the event that a first	
	Office Action on the merits has been issued, please consider this IDS	
	under 37 C.F.R. § 1.97(c) and see the certification under 37 C.F.R. §	
	1.97(e)below, or, if no certification has been made, charge our deposit	
	account a fee in the amount of \$240.00 as required by 37 C.F.R. § 1.17(	
THIS IDS	IS BEING FILED UNDER 37 C.F.R. § 1.97(c):	
(check one	box)	•
before the	mailing date of a Final Office Action under 37 C.F.R. § 1.113 (See 37 C.F.F.	R. §
1.97(c) (1)	or before the mailing date of a Notice of Allowance under 37 C.F.R. § 1.3	11
See 37 C.	F.R. § 1.97(c) (2)).	
a	No certification; therefore, a fee in the amount of \$240.00 is required by	y
	37 C.F.R § 1.17(p).	
	or	
b. X	See the certification below. No fee is required.	

Application No.: 10/045,778
Filed: January 11, 2692
Group Art Unit: 1744
box)

# VI. <u>CERTIFICATION UNDER 37 C.F.R. § 1.97(e)</u> (check <u>only</u> one box)

The undersigned hereby certifies that

	a	each item of information contained in the IDS was cited in a
		communication from a foreign Patent Office in a counterpart foreign
		application not more than three months prior to the filing of this IDS; or
	b	no item of information contained in the IDS was cited in a communication
		from a foreign Patent Office in a counterpart foreign application or, to the
		best of my knowledge after making reasonable inquiry, was known to any
		individual designated in 37 C.F.R. § 1.56(c) more than three months prior
		to the filing of this statement.
	c	Some of the items of information were cited in a communication from a
		foreign Patent Office. As to this information, the undersigned certifies
		that each item of information contained in the IDS was cited in a
		communication from a foreign Patent Office in a counterpart foreign
		application not more than three months prior to the filing of this IDS. As
		to the remaining information, the undersigned hereby certifies that no
		item of this remaining information contained in the IDS was cited in a
		communication from a foreign Patent Office in a counterpart foreign
		application or, to the best of my knowledge after making reasonable
		inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c)
		more than three months prior to the filing of this statement.
	D1	ahara Danait Aasaan Na 500260 in the amount of \$190.00 for the
—		charge Deposit Account No. 500369 in the amount of \$180.00 for the
	above	-indicated fee. A triplicate copy of this paper is attached.
<u> </u>	No fee	e is required.

Application No.: 1007, 778

Filed: January 11, 2002

Group Art Unit: 1744

# VII. THIS IDS IS BEING FILED UNDER 37 C.F.R. § 1.704(d) (PATENT TERM ADJUSTMENT)

If the Examiner has any questions concerning this IDS, he/she is requested to contact the undersigned. If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule (with a petition, if necessary) and charge the appropriate fee to Deposit Account No. 500369.

Date

APRIL 18, 2002

Respectfully submitted,

Mark S. Leonardo, Esq. Registration No. 41,433 Customer No. 21710

Brown Rudnick Berlack Israels LLP

One Financial Center Boston, MA 02111

Tel: (617) 856-8145 Fax: (617) 856-8201

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FORM PTO-1449 INFORMATION DISCLOSURE STATEMENT				ATTY DOCKET NO.	s	ERIAL NO 10/04\$\$78	App C
				19353/6		10/043 178	
APR 2 4 2002 88			APPLICANT(S): Perkins et al.				
			FILING DATE: January 11, 2002		ART UNIT: 0		
(4)	PAOEN	ARKOT	UNITED STATI	ES PATENT DOCUMENTS	***-		· · · · · · · · · · · · · · · · · · ·
EXAM. INITIAL		DOCUMENT NUMBER	DATE	INVENTOR	CLASS	SUB CLASS	FIL. DATE IF APPR
		3,314,880	04/18/1967	E.A. Rubin	210	44	
		3,350,301	10/31/1967	W.H. Hoffman	210	44	
		3,732,163	05/08/1973	H. Lapidot	210	47	
		3,912,533	10/14/1975	Heyer	127	13	
		3,945,918	03/23/1976	Kirk	210	44	
		3,951,795	04/20/1976	Doncer et al.	210	61	
		4,021,585	05/03/1977	Svoboda et al.	426	332	
		4,277,334	07/07/1981	Ruidisch et al.	210	154	
		4,309,388	01/05/1982	Tenney et al.	422	304	
		4,481,080	11/06/1984	Mallon	201	31	
		4,517,159	05/14/1985	Karlson	422	· 20	
		•	FOREIGN P	ATENT DOCUMENTS		1	<del>r-</del>
		DOCUMENT NUMBER	. DATE	COUNTRY	CLASS	SUB CLASS	TRAN Y/N
	EP	0 331 296 A1	Feb. 07, 1989	EPO	A23	7/144	Yes
	EP	0 353 314 A1	Aug. 01, 1988	EPO	C02F	1/40	No
	EP	0 468 461 A1	July 23, 1991	EPO			Yes
		OTHER DOCUMENTS (	INCLUDING AU	THOR, TITLE, DATE, PERTINE	ENT PAGES, E	TC.)	
		<u>AWWA</u> , May 1983, 99 239	-246	g Water Treatment", B.M. Saunier et			
		"Ozone as a Coagulant Aid	", D. Reckhow et a	al., <u>AWWA Seminar Proceedings Nu</u>	imber 20005, 19	86, pp. 17-4	6. ·
Examiner:				Date:			

DOCUMENT NUMBER	UNITED STATI	APPLICANT(S): Perkins et al.  FILING DATE: January 11, 2002 ES PATENT DOCUMENTS	A	ARTZMYT:	3107
DOCUMENT	<del>.</del>				<i>1</i> _
	DATE			•	9
Ī		INVENTOR	CLASS	SUB CLASS	FIL. DATE IF APPR
4,608,165	08/26/1986	Galper	210	232	
4,744,903	05/17/1988	McAninch et al.	210	632	
4,744,904	05/17/1988	McAninch et al.	210	632	
4,790,943	12/13/1988	Dunn et al.			
4,827,727	05/09/1989	Caracciolo	62	63	
4,844,189	07/04/1989	Shisgal et al.	177	211	
4,849,237	07/18/1989	Hurst	426	332	
4,876,004	10/24/1989	Verhoeff	210	170	
4,868,950	09/26/1989	Harben, Jr., deceased	17	11.2	
4,947,518	08/14/1990	Covell, III	17	11.2	
4,966,713	10/30/1990	Keys et al.	210	705	
5,053,140	10/01/1991	Hurst	210	704	
5,132,010	07/21/1992	Ossenkop	210	121	
	FOREIGN P	ATENT DOCUMENTS		1	
OCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRAN Y/N
OTHER DOCUMENTS (I	NCLUDING AU	       THOR, TITLE, DATE, PERTINE	 NT PAGES, E	rc.)	
-					<u> </u>
		Date:		<del>.</del>	
	4,790,943 4,827,727 4,844,189 4,849,237 4,876,004 4,868,950 4,947,518 4,966,713 5,053,140 5,132,010  POCUMENT NUMBER	4,790,943 12/13/1988 4,827,727 05/09/1989 4,844,189 07/04/1989 4,849,237 07/18/1989 4,876,004 10/24/1989 4,868,950 09/26/1989 4,947,518 08/14/1990 4,966,713 10/30/1990 5,053,140 10/01/1991 5,132,010 07/21/1992 FOREIGN P	4,790,943  12/13/1988  Dunn et al.  4,827,727  05/09/1989  Caracciolo  4,844,189  07/04/1989  Shisgal et al.  4,849,237  07/18/1989  Hurst  4,876,004  10/24/1989  Verhoeff  4,868,950  09/26/1989  Harben, Jr., deceased  4,947,518  08/14/1990  Covell, III  4,966,713  10/30/1990  Keys et al.  5,053,140  10/01/1991  Hurst  5,132,010  07/21/1992  Ossenkop  FOREIGN PATENT DOCUMENTS  DOCUMENT NUMBER  DATE  COUNTRY	4,790,943       12/13/1988       Dunn et al.         4,827,727       05/09/1989       Caracciolo       62         4,844,189       07/04/1989       Shisgal et al.       177         4,849,237       07/18/1989       Hurst       426         4,876,004       10/24/1989       Verhoeff       210         4,868,950       09/26/1989       Harben, Jr., deceased       17         4,947,518       08/14/1990       Covell, III       17         4,966,713       10/30/1990       Keys et al.       210         5,053,140       10/01/1991       Hurst       210         5,132,010       07/21/1992       Ossenkop       210         FOREIGN PATENT DOCUMENTS         POCUMENT NUMBER       DATE       COUNTRY       CLASS         OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, E	4,790,943       12/13/1988       Dunn et al.         4,827,727       05/09/1989       Caracciolo       62       63         4,844,189       07/04/1989       Shisgal et al.       177       211         4,849,237       07/18/1989       Hurst       426       332         4,876,004       10/24/1989       Verhoeff       210       170         4,868,950       09/26/1989       Harben, Jr., deceased       17       11.2         4,947,518       08/14/1990       Covell, III       17       11.2         4,966,713       10/30/1990       Keys et al.       210       705         5,053,140       10/01/1991       Hurst       210       704         5,132,010       07/21/1992       Ossenkop       210       121         FOREIGN PATENT DOCUMENTS         COUMENT NUMBER       DATE       COUNTRY       CLASS       SUB CLASS         OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

FORM PTO-1449 INFORMATION DISCLOSURE STATEMENT			ATTY DOCKET NO.	S	ENJAL NO		
			19353/6		197025,778 2		
			APPLICANT(S): Perkins et al.				
E	2 4 2002 8		FILING DATE: January 11, 2002	ART UNIT			
-	RADENARY	UNITED STAT	ES PATENT DOCUMENTS			<del>_</del>	
EXAM. INITIAL	DOCUMENT NUMBER	DATE	INVENTOR	CLASS	SUB CLASS	FIL. DATE II APPR	
	5,173,190	12/22/1992	Picek	210	651		
	5,178,755	01/12/1993	LaCrosse	210	195.1		
	5,227,184	07/13/1993	Hurst	426	312		
	5,248,439	09/28/1993	Derrell	210	708		
	5,514,282	05/07/1996	Hibbard et al.	210	652		
	5,593,598	01/14/1997	McGinness et al.	210	748		
	5,728,305	03/17/1998	Hawkinson	210	760		
	5,759,415	06/02/1998	Adams	210	776		
	5,882,253	03/16/1999	Mostoller	452	173		
J	1	FOREIGN 1	PATENT DOCUMENTS				
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRAN Y/N	
	OTHER DOCUMENTS (	NCLUDING A	 UTHOR, TITLE, DATE, PERTINE	ENT PAGES, E	TC.)		
xaminer:	<u> </u>		Date:	·			